Friedrich-Schiller-University Jena Institute of Geography – Department of Earth Observation



Integrated Forest Observations in Boreal Ecosystems

Results of the EC projects SIBERIA-I and –II EC, and ESA projects FEMINE, GMES Forest Monitoring and the GOFC-GOLD Land Cover Project Office in Jena

Chris Schmullius, Sergey Bartalev, and Anatoly Shvidenko

Overview

- 1. Climate Change Background and EO
- 2. SAR Imaging for Boreal Ecology and Radar Interferometry Applications
- 3. SIBERIA-II: Multi-sensor Concepts for Greenhouse Gas Accounting
- 4. New Possibilities: ALOS and TerraSAR-X
- 5. Sib-ESS-C: Siberian Earth System Science Cluster

Mean Temperature Change 1965 to 2002



Northern Hydrologic Systems are Changing

- Carbon cycle is closely linked with energy & water cycles
- Observed changes in Northern Hydrology
 - § River discharge \uparrow
 - § Spring discharge peak \downarrow
 - § Length of ice-free season \uparrow
 - § Snow covered area \downarrow
 - § Active layer depth ↑
 - § Etc.
- But distributed hydrologic processes can only be poorly quantified due to the lack of data % of not

% of non-missing precipitation data 1994-2004 over SIBERIA II region



http://www.siberia2.uni-jena.de

SIBERIA-II : LPJ Carbon Bilance 2002-2003



Biomass of Northern Eurasia has been Changing in Recent Decades



Prof. Dr. C. Schmullius – Friedrich-Schiller-Universität Jena – Geoinformatik und Fernerkundung







Requirements for Kyoto ARD Forest Change Mapping – a Challenge:

- Changes appearing between 1989(1950) and 2000
- Identification of underlying causes of specific changes
- Identification of specific landuse change
 - -> development of a change detection system for
 - 1. landuse change detection (Afforestation)
 - 2. Forest cover change detection and
 - 3. secondary feature classification (context classification/object shape classification)



ARD mapping 1990 vs. 2000 in Central Siberia, Bratsk region with Landsat

Objektformeigenschaften (Logging)



Segmentierungsergebnis von Logging Flächen





Objektformvereinfachung und Darstellung der inneren Objektstruktur

Objektformeigenschaften (Fire Scars)



Fire Scar Objekte zeigen eine sehr viel komplexere

Form (auch der inneren Polygonstruktur)

Geoinformatik und Fernerkundung Prof. Dr. C. Schmullius – Friedrich-Schiller-Universität Jena

Objekt-Kontextinformation I







Erfassung linearer Objekte (Straßen) zur kontextbasierenden Klassifikation von anthropogen bedingten Veränderungen.

Landsat RGB; Linear "change" objects in yellow, other change objects in red. (logging activities and new road features)

Objekt-Kontextinformation II





Wolken und Wolkenschatten in 1989

> Cloud_Shadows_1989-12 Cloud_Shadows_2000-12 Clouds_in_1989-L2 Clouds_in_2000-L2

Kontextinformation:

Wolken und Wolkenschatten in 2000 Zuordnungsr (1989&20







Summary / Lessons Learned

- ARD (im Sinne der Kyoto Definition) ist nur schwer mit hoher Genauigkeit klassifizierbar
- Komplizierte auf Objektform basierende and kontextbasierende Verfahren sind nicht direkt übertragbar auf große Datensätze -> Bedarf für neue Normalisierungsansätze ! (z.B. Shape–Template-Matching ?).
- Objekt orientiertes Konzept jedoch sehr viel versprechend für die zukünftige Methodenentwicklung



ENVISAT MERIS (Medium Resolution Imaging Spectrometer)



MTCI vs. REP

• REP by MERIS:

$$REP(MERIS) = 708.75 + 45 \frac{(R_i(MERIS) - R_{Band9})}{(R_{Band10} - R_{Band9})}$$
$$R_i(MERIS) = \frac{(R_{Band7} + R_{Band12})}{2}$$

• MTCI (MERIS Terrestrial Chlorophyll Index): $MTCI = \frac{R_{Band10} - R_{Band9}}{R_{Band9} - R_{Band8}}$ Prof. Dr. C. Schmullius – Friedrich-Schiller-Universität Jena – Geoinformatik und Fernerkundung

NDVI, REP and MTCI Calculation











New Fire Sites Detection



The Main Results

- The ENVISAT MERIS instrument shows the good sensitivity for the forest degradation estimation
- The simple form and linear relationship between MTCI and forest degradation makes it more preferable than NDVI and REP for degradation mapping
- The use of MERIS Terrestrial Chlorophyll Index (MTCI) can approximate the forest degradation with RSME below 20%





- Small dynamic range
- Variable response to water
- Variable response to open areas
- Can be used as indicator of environmental effects effecting the coherence

- Medium dynamic rangeStable response to water
- •Possible to identify agricultural fields
- •Higher frame to frame variations

- •Higher contrast between forest/non forest
- •Higher sensitivity to forest volume
- •Confusion between water and dense forest
- •Frame to frame variations



Dr. C. Schmullius – Friedrich-Schiller-Universität Jena – Geoinformatik und Fernerkundung ₽rof. | Eriksson)



A. Roth, MFFU Sommerschule 2000



















SIBERIA algorithm application in Mongolia (FAO Participatory Forestry Proj.)



Change detection



JERS Coherence 1993-12-29 - 1994-02-11



JERS Coherence 1996-01-17 - 1996-03-01

Leif Eriksson, ForestSat 2005
Stem volume retrieval – Results



Best results for JERS-1: RMSE = $60 \text{ m}^3/\text{ha}$ Relative RMSE = 43 %R² = 0.75Best results for ERS-1/2: RMSE = $57 \text{ m}^3/\text{ha}$ Relative RMSE = 37 %R² = 0.73

Leif Eriksson et al., ForestSAT 2005

3. Multi-temporal combination

ERS "tandem" coherence RMSE: 10 m³/ha Relative RMSE: 7 %

JERS backscatter RMSE: 33 m³/ha, Relative RMSE: 22 %





Santoro et al., RSE, 2002

Stem volume map - Preview









SIBERIA algorithm JERS-1 coherence JERS-1 backscatter



Deforestation

Geoinformatik und Fernerkundung 260informatik und Fernerkundung 27002001.1000 (ELS-5)

29.12.2000 (ERS-2)

27.02.2004 (ASAR IM)



C-Band VV Time Series to detect changes for ARD, Afforestation-Deforestation-Reforestation, Article 3.3 of the Kyoto Protocoll.

M. Santoro 2004

Prof. Dr. C. Schmullius – Friedrich-Schiller-Universität Jena – Geoinformatik und Fernerkundung



Das Lund-Potsdam-Jena-DGVM (LPJ-DGVM)

Das dynamische globale Vegetationsmodell "Lund-Potsdam-Jena"



0.5° Input, monatlich

nerkundung



Northern Hydrologic Systems are Changing

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http://www.siberia2.uni-jena.de

Passive Optical Sensors		NOAA AVHRR		
		ENVISAT AATSR		
		ENVISAT MERIS		
		TERRA MI SR		
		ERS ATSR-2		
		TERRA MODI S		
		TERRA ASTER		
		Landsat TM 5		
		Landsat ETM		
		SPOT Vegetation		
		DMSP OLS		
		DMSP SSM/I		
er-Univ		Resurs-01 (MSU-SK)		
Active Optical Sensors (Laser)		none - (although interest in VCL - Vegetation Canopy Lidar - Mission)		
Active Microwave	SAR	ENVISAT ASAR		
도 Sensors		ERS-2 SAR		
s III		ERS-1 SAR		
		JERS-1 (historical data)		
S	Scatterometer	QuikScat SeaWinds		
Dr. C		ERS AMI - SCAT		
Passive Microwave Sensors		(SMMR, ADEOS-II AMSR)		













SIBERIA-II: CESBIO, Toulouse

SIBERIA-II Operational EO-Products for Greenhouse Gas Accounting

Greenhouse Gas Parameter = EO Product	Parameter Synergies	Main Sensor	Sensor Synergies (incl. Up- & Downsscaling) Improvement!	Source Years for SIBERIA-II	Pixel Size
ARD (only testsites)	Disturbances Landcover	Landsat TM	Multitemp. AVHRR ASAR ; JERS-1	90 <u>vs</u> . 2000	25m to 2km
Biomass	None	None	SIBERIA(-1) Map ASAR AP and repeat- pass coherence; NDVI (97-03)	1997/8 (Envisat03 / 04)	50m to 8km
<u>Disturbances</u>	ARD Landcover SnowCover	SPOT VGT	SIBERIA(-1) Map Multitemp. ASAR; AVHRR; ATSR-2, MODIS, MERIS	1990-2002, 2003 on a monthly basis	300m to 1km
FAPAR + LAI		MODIS	AVHRR, MERIS, VGT	2002, 2003	1 km to 10 km
Phenology	Landcover Snow Cover	MODIS	ASAR WS, AVHRR, MERIS?, SSM/I, VGT	98-03	1km to 10km
Freeze/ Thaw	Snow Extent Phenology, (Permafrost)	Quickscatt	(ASAR WS), MODIS, MERIS	1999-ongoing	(75m to) 10km
Land cover	Disturbances Waterbodies Biomass Phenologoy	MODIS	AATSR ASAR WS MERIS	2001-2004	300m to 1km
Snow Depth & Date of Snowmelt	Landcover Phenology	SSM/I	MODIS VGT	1988-02	1 km to 25 km
Soil-moisture (not operational)		Scatterometer	ASAR WS	92-2000	25km
Wetlands Waterbodies	Landcover (Permafrost)	ASAR WS	SSM/I	2004 (2003/04)	75



SIBERIA-II Major Outcome 1= NPP reduction



Prof. Dr. C. Schmullius – Friedrich-Schiller-Universität Jena – Geoinformatik und Fernerkundung

SIBERIA-II Major Outcome 2: NEP sink-to-source



Prof. Dr. C. Schmullius – Friedrich-Schiller-Universität Jena – Geoinformatik und Fernerkundung

Spatial Modelling

of Greenhouse Gas Compartments for GIS-based





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(1) Friedrich-Schiller-University, Jena/Germany(2) International Institute of Applied Systems Analysis, Laxenburg/Austria



Background – SIBERIA-II



Study region:

~ 3 Mio km² in Central-Siberia (85 -115° E; 52 - 75° N)



Background – IIASAs Landscape Approach

GIS-based landscape ecosystem model

provides diagnostic predictions of the carbon storage and GHG fluxes

Model input

Polygon based vegetation map 1: 1 Mio with attributive database holding all information needed for a terrestrial biota full carbon accounting (FCA)



Generation of IIASA data base

Manual digitizing in GIS by Russian regional vegetation experts who identified and delineated homogenous polygons based on

- field data
- forest inventory
- remote sensing data (landsat scenes)
- soil and landscape maps

Background – IIASAs Landscape Approach

Problems

- labour and time consuming
- data is strongly aggregated
- practical implementation of delineation and aggregation rules are not completely clear
- estimation of uncertainties of the process of delineation is not possible
- forest inventory is only conducted every 10 to 15 years and only in the forested middle and southern parts of the study region





Concept – Landscape ecology



 Postclassification of SIBERIA-II land cover map



Postclassification of SIBERIA-II land cover map

Rule based data fusion of different independent EO-products and maps

- Postclassification of SIBERIA-II land cover map
- Rule based data fusion of different independent EO-products and maps
- Rules of desicion tree are
 - based on natural regularities or/and
 - trained with IIASAs vegetation map (1:1 Mio) and
 - forest inventory test sites (1:50.000, 1:100.000)

- Postclassification of SIBERIA-II land cover map
- Rule based data fusion of different independent EO-products and maps
- Rules of desicion tree are
 - based on natural regularities or/and
 - trained with IIASAs vegetation map (1:1 Mio) and
 - forest inventory test sites (1:50.000, 1:100.000)
- Fuzzy classification scheme using VCF to derive 3 layers:
 - forest layer
 - non forest classes
 - bare rock (unproductive) layer

ecoregions

different rules for different regions









elevation belts, for differentiation of tree species, wetland types





- 1. special soil types for differentiation between tree species
- 2. peaty horizon > 40cm for decision about wetlands,


Decision Rules





Decision Rules Prof. Dr. C. Schmullius – Friedrich-Schiller-Universität Jena – Geoinformatik und Fernerk ecoregions land cover Data fusion and implementation of desicion rules in AML VCF topography soil ASAR wetlands disturbances ASAR water bodies and topographic map











Other input parameters for IIASA model

parameters	derived from
 Soil data: - carbon stored in first 1m - carbon stored in litter 	IIASA data base, soil data base
 Forest inventory parameters: site index growing stock, relative stocking age 	IIASA data base VCF à height and DBH mean values for ecoregions
 Climate data: number of days with temperature > 0°C sum of temperature > 0, 5 and 10°C sum of precipitation of days with temp. > 0, 5, 10°C 	CRU data + freeze/thaw

Other input parameters



Other input parameters

IIASA uses averages over each ecoregion

We use averages over GGCs



Geoirfer Input para Climatic correction IIASA uses aver We use average

Greenhouse Gas Compartments

GGC = a region consisting of pixel with the same

- vegetation
- soil type and
- bioclimatic zone

Based on these regions all other parameters are regionalised à A mean value over this region is used

Other input parameters

IIASA uses averages over each ecoregion

I use averages over GGCs



Introduction to SIB-ESS-C

Where do we go from here?

- need to preserve the achievements of SIBERIA-II
- need for public access to data products
- need for more structure and documentation
- need to continue dataset generation to build up time series



Need for an: Earth Science Information Infrastructure* = Siberian Earth System Science Cluster

* J. Frew, UCSB

Disturbances

Product Summary

- Annual fire disturbances from 1992 to 2003
- "historic" data derived from MODIS & SPOT_VGT using NDSWIR Index in combination with thermal anomaly data from AVHRR, ATSR-2 and MODIS
- "current" fires (2002-03) derived from MODIS 16-day hotspot and NDVI differencing synergy

Reference

Balzter, H. et al. (2004): Forest fires in Central Siberia and their impact on emissions of greenhouse gases.
Proceedings of RSPSoc, Aberdeen, 6-10 September, CD-ROM





Land Cover

Product Summary

- annual land cover maps for 2001-2004 derived from MODIS 8-day surface reflectance (MOD04A)
- Spatial resolution: 500 m
- 16 classes adopted from GLC2000
- Input data acquired for growing season (June-October)
- Supervised classification scheme using C5.0 decision tree classifier

Reference

SKINNER, L., and LUCKMAN, A. (2004): Introducing a land cover map of Siberia derived from MERIS and MODIS data. Proceedings of IGARSS'04, Anchorage, 20-24 September, pp. 223-226.



Snowmelt dates



Product Summary

- Spring snow melt date for 2000-2002
- derived from SSM/I data
- spatial resolution: 25km x 25km
- temporal resolution: 5 day interval



Reference

Grippa M., N. M. Mognard and T. Le Toan, 2005a, Comparison between the interannual variability of snow parameters derived from SSM/I and the Ob river discharge, Remote Sensing of Environment, 98, pp 35-44.



Phenology

Product Summary

- spring phenology dates for 2000-2003
- Derived from SPOT-VGT 10-day composite data using Normalised Difference Water Index (NDWI)
- Spatial resolution: 1km x 1km



Reference

Delbart N., L. Kergoat, T. Le Toan, J. L'Hermitte and G. Picard, 2005, Determination of phenological dates in boreal regions using normalized difference water index, Remote Sensing of Environment, 97, 1, pp. 26-38.

Delbart, N., T. Le Toan, L. Kergoat, V. Fedotova (2006), Remote sensing of spring phenology in boreal regions: a free of snow-effect method using NOAAAVHRR and SPOT-VGT data (1982-2004), Remote Sensing of Environment, 101, 52-62.

Freeze/ Thaw



Product Summary

- Derived from QuikSCAT (1 Terabyte) Ku Band Scatterometer
- Spatial resolution: 25km (product 10km)
- Temporal resolution: daily

Products for 2000-2003 inc.

- Onset of Thaw/refreeze period
- Duration of Thaw/refreeze period



Reference

KIDD, R., BARTSCH, A., and WAGNER, W. (2004): Development and validation of a diurnal difference indicator for freeze-thaw monitoring in the Siberia II Project, Proceedings of 2004 ERS & Envisat Symposium, Salzburg, 6-10 September, SP-572, CD-ROM.



Snowdepth

Product Summary

monthly snow depth for 2000-2002
derived from SSM/I data using a new combined dynamic and static algorithm
spatial resolution: 25km x 25km



Reference

Grippa, M., N.M. Mognard, T. Le Toan and E.G. Josberger 2004a "Siberia snow depth climatology derived from SSM/I data using a combined dynamic and static algorithm, Rem. Sens. Envir. 93:30-41.

Grippa M., N. M. Mognard and T. Le Toan, 2005a, Comparison between the interannual variability of snow parameters derived from SSM/I and the Ob river discharge, Remote Sensing of Environment, 98, pp 35-44.

Dynamic Vegetation Models

DGVMs (Dynamic Vegetation Models)

- Lund-Potsdam-Jena Digital Global Vegetation Model (LPJ-DGVM). Potsdam Institute for Climate Impact Research (PIK) – Prognostic Process Model
- Sheffield Digital Global Vegetation Model (SDGVM). Sheffield Centre for Earth Observation Science (SCEOS) – Prognostic Process Model
- Terrestrial Biota Greenhouse Gas Accounting (TBGHGA). International Institute for Applied Systems Analysis (IIASA) – Static Regression Model

State-of-the-art

What is currently available?

Universal connectivity

- Internet
- Web

Comprehensive analysis environments

- GIS (ArcGIS, ...)
- Matrix manipulation (IDL, MATLAB, ...)

Standards

- Metadata (FGDC, ISO 19115, ...)
- Data (HDF, GeoTIFF, SHP, ...)
- Services (OGC, ...)

SIB-ESS-C Overall Objectives

§ Develop a spatial data infrastructure to facilitate earth system science studies in central Siberia

- § Set up a web interface to provide access to data products created during the SIBERIA-II project
- § Continue remote sensing data acquisition and product generation to build up time series
- **§** Provide online geo-visualization tools for integrated data analysis
- Integration of biosphere modelling algorithms into SIB-ESS-C (external access via web-interface to trigger model runs)

initiate additional projects to complement SIB-ESS-C

Implementation strategy

Stage 1: "getting the SIBERIA-II products online"

- establish a catalogue service providing information about SIB-ESS-C data holdings and services
- establish a coverage service for direct data access and download

Stage 2: "equip SIB-ESS-C with processing power for continuous product generation"

- Setting up a PC cluster for operational data processing
- implement tools for data archiving, storage management and automatic metadata creation

Stage 3: "from data providing to scientific data analysis"

 implement interactive visualization tools for spatio-temporal analysis

Stage 4: "integrating SIB-ESS-C into a global network of distributed Earth Science Clusters"

- offer data/services to external systems
- implement external data/services into SIB-ESS-C



SIB-ESS-C - Conceptual View



Supporting projects

- SIB-ESS-C core project is to develop a Earth Science Information Infrastructure
- Supporting projects are to be established in 3 areas:
 - thematic (science / application driven)
 - methodological (EO-product developm
 - technical (software, system architectu
- supporting projects can be:
 - internal (within FSU Jena or FSU coord
 - external (through collaboration)



Supporting Elements









Γ					
		Spotlight	StripMap	ScanSAR	
	Geometric Resolution	1 m	3 m	16 m	
	lmage Swath Width	10 km	30 km	100 km	
	Maximum Length per Image	5 km	3000 km	3000 km	
L.					



Fully polarimetric L-band (geometric resolution ca. 25 m) ca. 10 x 3 km

Very high resolution X-band (geometric resolution ca. 1 m)

very high resolution data with very high dynamic range

Additional polarimetric information (L-band and X-band)

E-SAR L-band

E-SAR

Application area image classification / forest detection

ca. 10 x 2,5 km

Supervised classification using intensities & polarimetric information water/shadow, forest (high density), forest (low density), settlements, other

